

PRIVACY POLICY

FACTS	WHAT DOES MODERN ASSETS, LLC (“MODERN ASSETS” OR “WE”) DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all, sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information may include:</p> <ul style="list-style-type: none"> • Social security number and driver's license number • Account balances and income • Credit and payment history <p>When you are no longer our client, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share their clients’ personal information in order to run their day-to-day business. In the section below, we list the reasons financial companies may share their clients’ personal information, the reasons Modern Assets chooses to share such information, and whether you can limit this sharing.

Reasons We Can Share Your Personal Information	Does Modern Assets Share This?	Can You Limit This Sharing?
For our everyday business purposes - such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or to report to credit bureaus	Yes	No
For our marketing purposes - to offer our products and services to you	No	N/A
For joint marketing with other financial companies	No	N/A
For our affiliates’ everyday business purposes - Information about your transactions and experiences	No	N/A
For our affiliates to market to you	No	N/A
For non-affiliates to market to you	No	N/A

Questions? Call (424) 278-0732

Who We Are

Who is providing this notice? Modern Assets, LLC

What We Do

How does Modern Assets protect my personal information? To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards, secured files, and buildings.

How does Modern Assets collect my personal information? We collect your personal information, for example, when you:

- Open or close an account
- Authorize a trade or a direct fee-deduction
- Provide authorization to raise cash
- Have a financial plan prepared

We may also collect your personal information from other companies.

Why can't I limit all sharing? Federal law gives you the right to limit the following:

- Sharing for affiliates' everyday business purposes - information about your creditworthiness
- Affiliates using your information to market to you
- Sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates Companies related by common ownership or control. They can be financial and non-financial companies.

Non-Affiliates Companies not related by common ownership or control. They can be financial and non-financial companies.
These include broker-dealers, mutual fund companies, insurance companies, and other financial institutions.

Joint Marketing A formal agreement between non-affiliated financial companies that together market financial products or services to you.
Modern Assets, LLC does not participate in joint marketing.